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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/760,351	01/21/2004	Tsuyoshi Ono	1768-000001/US

CONFIRMATION NO. 9772

30593
 HARNESS, DICKEY & PIERCE, P.L.C.
 P.O. BOX 8910
 RESTON, VA 20195

FORMALITIES LETTER



OC000000012456860

Date Mailed: 04/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

11/30/2004 HTECKLU1 00000096 10760351

02 FC:1051

130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

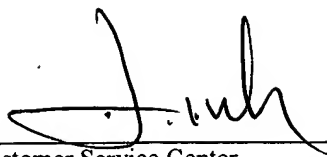
SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'J. L. H.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
1768-000001/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Tsuyoshi ONO et al. Conf. No.: 4609
Appl. No.: 10/760,351 Group: 2913
Filed: January 21, 2004 Examiner: Unknown
For: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE

THIS IS NOT A NEW PATENT APPLICATION
LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 35 U.S.C. §371

U.S. Patent and Trademark Office
220 20th Street S.
Customer Window - Mail Stop Missing Parts
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

November 29, 2004 (Monday)

Sir:

The application papers for the above-identified continuation application were originally filed on **January 21, 2004** and the application was assigned Application No. **10/760,351**.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☐ original ☒ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on ____, including any amendments thereto (if applicable) filed on even date therewith.

☐ The undersigned hereby declares that "Attorney Docket No. ____ on page 1 of the inventors' Declaration, filed on ____, corresponds to Appl. No. ____, filed ____, entitled "____."

☐ Attached are ____ (____) sheet(s) of formal drawings.

☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge ☒ Large Entity - \$130.00;
☐ Small Entity - \$ 65.00)

☐ Attached hereto is a Statement Claiming Small Entity Status
(☐ original ☐ photocopy).

☐ Submitted concurrently herewith **under separate cover**
for recording is an Assignment.

☐ No extension fee is required because the undersigned has filed the documents within the allotted time given by the U.S. Patent and Trademark Office. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

☒ Applicant hereby respectfully petitions for a **five (5)** month extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of **\$2080.00** is attached hereto.

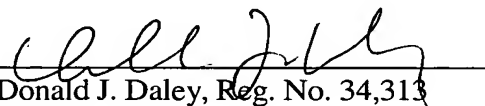
☒ A check in the amount of **\$2210.00** to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

☐ Please charge Deposit Account No. 08-0750 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By 
Donald J. Daley, Reg. No. 34,313
P.O. Box 8910
Reston, VA 20195
(703) 668-8000

DJD/bof

Attachments: As stated above.